



Practitioner's Docket No. 55,986 (70281) PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yamamoto, et al.
Application No.: 09/879,257 Group No.: 1645
Filed: June 12, 2001 Examiner: Fronda, Christian L.
For: Hybrid Enzyme And Use Thereof

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

Note: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☒ [X] incorrectly entered

and/or

☐ [] omitted.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☐ [] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ [] transmitted by facsimile to the Patent and Trademark Office (703) ____-____.

Date: January 2, 2003

Signature Eileen M Woodbury
Eileen M. Woodbury

(Request for Corrected Filing Receipt--page 1 of 2)

Error in

1. ☒ Total Claims
2. ☐ Applicant's address
3. ☐ Domestic Priority
4. ☒ Foreign Application Section:

Correct data

1. Please change total claims from [46] to 125
- 2.
- 3.
4. Please change filing date of JP2000-274219 from [09/11/00] to 9/8/00

3. *(complete the following applicable item)*

A. The correction(s) is/are not due to any error by applicant and no fee is due.

OR

B. At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. Section 1.19(h), of \$25.00 is paid as follows:

- ☐ Enclosed is check for \$25.00.
☐ Charge Account _____ the sum of \$25.00.

Date: January 2, 2003

By: 

Cara Z. Lowen
Reg. No. 38,227
Attorney for Applicant(s)

Dike, Bronstein, Roberts & Cushman
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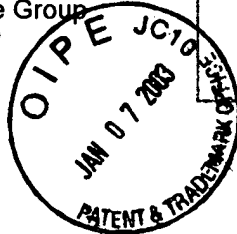


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/879,257	06/12/2001	1645	3128	70281/55,986	7	125	6

Dike, Bronstein, Roberts & Cushman
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DIKE BRONSTEIN
ROBERTS CUSHMAN

CONFIRMATION NO. 4822

UPDATED FILING RECEIPT



OC000000007002275

Date Mailed: 10/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Sachiko Yamamoto, Hyogo, JAPAN;
Minoru Shiro, Hyogo, JAPAN;
Toshiro Hanada, Hyogo, JAPAN;
Shinzo Kobatake, Hyogo, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-174604 06/12/2000
JAPAN 2000-274219 09/11/2000

09/08/2000

If Required, Foreign Filing License Granted 07/25/2001

Projected Publication Date: 02/07/2002

Non-Publication Request: No

Early Publication Request: No

Title

Hybrid enzyme and use thereof

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Titl 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

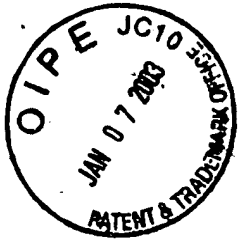
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No. 70281/55,986

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

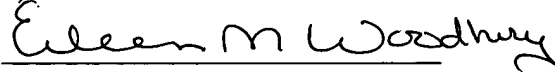
Applicant: S. Yamamoto, et al. Group: 1652
Serial No. 09/879,257 Examiner: Fronda, Christian L.
Filed: June 12, 2001
For: HYBRID ENZYME AND USE THEREOF

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231-0002 on January 2, 2003.



Eileen M. Woodbury

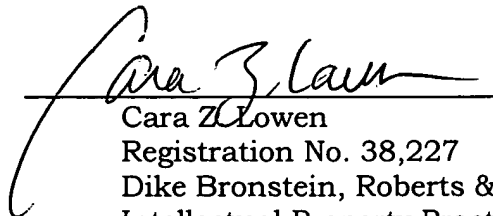
TRANSMITTAL OF SUPPLEMENTAL DECLARATION
AND POWER OF ATTORNEY TO CORRECT PRIORITY INFORMATION

Applicants hereby request that the attached Supplemental Declaration be entered for the above-referenced U.S. patent application.

The Declaration and Power of Attorney filed June 12, 2001 indicated that the foreign application 2000-274219 was filed on September 11, 2000. However, the certified copy had a filing date of September 8, 2000.

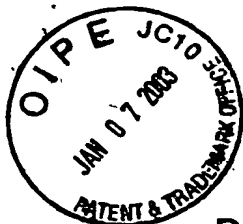
Respectfully submitted,

Date: January 2, 2003
317380



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Declaration and Power of Attorney for Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HYBRID ENZYME AND USE THEREOF

the specification of which

(check one)

- ☐ is attached hereto.
☒ was filed on June 12, 2001 as United States Application No. or PCT
Application No. 09/879,257
and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
<u>2000-174604</u>	<u>Japan</u>	<u>12/06/2000</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>2000-274219</u>	<u>Japan</u>	<u>08/09/2000</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. Section 120 of the United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark office all information known to me to be material to patentability as defined in Title 37, C.F.C., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*


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Steven M. Jensen	Reg. No. 42,693	Cara Z. Lowen	Reg. No. 38,227
William J. Daley, Jr.	Reg. No. 35,487	Robert L. Buchanan	Reg. No. 40,927
Linda M. Buckley	Reg. No. 31,003	David A. Tucker	Reg. No. 27,840
David G. Conlin	Reg. No. 27,026	Lisa Swiszc Hazzard	Reg. No. 44,368
Peter F. Corless	Reg. No. 33,860	George W. Hartnell	Reg. No. 42,639
Peter J. Manus	Reg. No. 26,766		

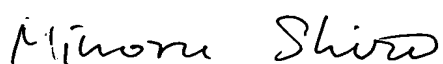
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Full name of fourth inventor		Shinzo Kobatake
Second inventor's signature Fourth <i>Shinzo Kobatake</i>		Date: 18/09/02
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Citizenship Japan		
Post Office Address c/o Wako Pure Chemical Industries, Ltd., Osaka Kenkyusho 6-1, Takatacho Amagasaki, HYOGO 661-0963 JAPAN		